

My residence, post office address and citizenship are as stated below next to my name.

METHOD AND APPARATUS FOR AUTOMATED TRADING OF EQUITY SECURITIES
USING A REAL TIME DATA ANALYSIS

(check one) [X] is attached hereto
 [] was filed on _____; as U.S. Application Serial No. _____; and was amended on _____
 (if applicable)
OR PCT International Application Number _____

☐ I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

hereby claim foreign priority benefits under 35 USC §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT International Application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed		Certified Copy Attached	
Yes	No	Yes	No

I hereby claim the benefit under 35 USC §120 of any United States application(s), or 365(c) of any PCT International Application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International Application in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) OR
PCT Parent Number:

(Serial Number)

(Day/Month/Year Filed)

(Patented, pending, abandoned)

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application listed below:

(Application Number)

(Day/Month/Year Filed)

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

John A. Artz Reg. No. 25,824; John S. Artz Reg. No. 36,431; Kevin G. Mierzwa Reg. No. 38,049; Robert P. Renke Reg. No. 40,783 ; Angela M. Brunetti Reg. No. 41,647; Franklin A. MacKenzie 42,826; Thomas E. Donahue Reg. No. 44,660; Steven W. Hays Reg. No. 41,823

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Dean Amburn.

Inventor's signature  Date 2/9/2000

Post Office Address same as residence Citizenship United States of America

Residence 4833 Waldon Woods Drive, Commerce Township, Michigan 48382

Full name of second joint inventor: NONE

Inventor's signature _____ Date _____

Post Office Address _____ Citizenship _____

Residence _____

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

AMB 0101 PA

Applicant, Patentee, or Identifier: Dean Amburn

Application or Patent No.: Unknown

Filed or Issued: Herewith

Title: Method And Apparatus For Automated Trading Of Equity Securities
Using A Real Time Data Analysis

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Dean Amburn

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of inventor

Signature of inventor

Signature of inventor

Date

Date

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.